

06/16/00
JC862 U.S. PTO

JC832 U.S. PTO
09/595088
06/16/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Commissioner of Patents and Trademarks
Washington, D.C. 20231

PATENT FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of: Kenneth Babamoto
Benjamin Shamsian
Michael Steinmetz

For: "SYSTEM AND METHOD FOR DEVELOPING AND MANAGING THE
HEALTHCARE PLANS OF PATIENTS WITH ONE OR MORE HEALTH
CONDITIONS"

TYPE OF FILING

This new patent application is for a(n):

- ☒ Utility
- ☐ Design
- ☐ Plant
- ☐ Divisional
- ☐ Continuation
- ☐ Continuation-in-part

Benefit of a prior filed application

- ☐ This application claims the benefit of an earlier filed U.S. Patent Application under 35 USC 120. Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.
- ☐ Please accord Applicant the benefit of the priority date of _____ to this case pursuant to 35 USC 119. Applicant's claim for priority is based on application _____ filed in _____ on that date.

CERTIFICATE OF MAILING (37 CFR 1.10(a))

I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 as Express Mail No. EL303192740US addressed to the Commissioner of Patents & Trademarks, Washington, D.C. 20231 on June 16, 2000.

Date: 6/16/2000

Signed: Shari Newman Lipari
Shari Newman Lipari

Filing under 37 CFR 1.53 (Utility) or 37 CFR 1.153 (Design)

- ☒ This is an application filed pursuant to 37 CFR 1.53 or 37 CFR 1.153, permitting receipt of a filing date upon filing of a specification, at least one claim and necessary drawings.
- ☒ In the event any parts of this application are incomplete, please treat this as a filing under 37 CFR 1.53 or 37 CFR 1.153.

ENCLOSURES

Minimum requirements under 37 CFR 1.53(b) (Utility) or 37 CFR 1.153 (Design)

- ☒ 16 pages of specification;
- ☒ 3 - pages of claims;
- ☒ 1 - pages of abstract;
- ☒ 17 - sheets of informal drawings;
- ☒ A Declaration, Power of Attorney & Petition or listing of inventors;
- and**
- ☒ A postcard for return to us as proof of receipt of the above documents.

plus

- ☐ An Assignment of the invention to _____ and an Assignment cover sheet;
- ☐ Verified Statement Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b))
- ☐ Form PTO-1449 (IDS) and copies of the references listed thereon;
- ☐ A certified copy of _____ (country) patent application number (priority document).
- ☐ A preliminary amendment;
- ☐ Declaration of Biological Deposit;
- ☐ Submission of sequence listing, computer readable copy and/or amendment relating thereto for biotechnology invention containing nucleotide and/or amino acid sequence;
- ☐ An associate power of attorney;
- ☐ Other.

DECLARATION OR OATH

The enclosed Declaration or Oath has been executed by:

- ☐ Inventor(s);
- ☐ Legal representative of the inventors (37 CFR 1.42 or 1.43);
- ☐ Joint inventor or person showing proprietary interest on behalf of an inventor who refused to sign or who cannot be reached and this is a petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is attached;
- ☒ Has not been executed and is enclosed for the purposes of identifying the inventors.

INVENTORSHIP STATEMENT

The inventorship for all the claims in this application is:

- ☒ the same;
☐ not the same and, as an explanation, a statement is/ will be submitted.

LANGUAGE

The application submitted herewith is:

- ☒ in English;
☐ in not in English and in terms of 37 CFR 1.52(d) a verified translation is
☐ attached
☐ not attached.

ASSIGNMENT

A copy of the Assignment of the invention to _____:

- ☐ is attached.
☐ will follow.

FEE CALCULATION

The filing fee has been calculated as shown below:

				SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
				\$345	\$		\$690	\$690
BASIC FEE Utility Patent				RATE	FEE		RATE	FEE
EXTRA FEES								
INDEP. CLAIMS	1 MINUS 3=	-2	x 39 =	\$			x 78 =	\$
TOTAL CLAIMS	9 MINUS 20 =	-11	x 9 =	\$			x 18 =	\$
<input type="checkbox"/> MULTIPLE DEP. CLAIM			+130 =	\$			+260 =	\$
<input type="checkbox"/> ASSIGNMENT			+ 40 =	\$			+ 40 =	\$
<input type="checkbox"/> RULE 53 SURCHARGE			+ 65 =	\$			+130 =	\$
TOTAL				\$			\$690.00	

- ☐ Amendment cancelling extra claims is enclosed.
☐ Fee for extra claims is not being paid at this time.

FEE PAYMENT

- ☐ Attached is Check No. _____ in the sum of \$ _____ to cover the filing and, if applicable, the assignment fee.
- ☒ Please charge Account No. 23-0800 the sum of \$ 690.00 to cover the application filing fee.

FEE DEFICIENCY

- ☒ The Commissioner is authorized to charge (or credit any overpayment) to deposit account No. 23-0800:
- ☒ Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.
- ☐ Assignment Recordal fees.
- ☒ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. 23-0796.
- ☒ A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated:

6/16/00


Stephen D. Kahn

Reg. No: 25,624

WEIL, GOTSHAL & MANGES, LLP

767 Fifth Avenue

New York, NY 10153

Telephone: (212) 310-8000

Facsimile: (212) 310-8007